Page 1 of 2



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trudemork Office
Address COMMERCIONER FOR PATENTS
PO BOX 150
Absundta Vigicis 22113-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/824,831

04/14/2004

Katsumi Fukumoto

577642000100

25226 MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018

09/01/2004 EFLURES 00000076 031952

01 FC:1051

130.00 DA

CONFIRMATION NO. 7735
FORMALITIES LETTER
\*OC000000013083243\*

Date Mailed: 06/28/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### Items Required To Avoid Abandonment:

10824831

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Page 2 of 2

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# MORRISON & FOERSTER LLP

Attorneys at Law 755 Page Mill Road Palo Alto, California 94304-1018 Telephone: (650) 813-5600 Facsimile: (650) 494-0792

To:

NAME:	FACSIMILE:	TELEPHONE:
MS Missing Parts United States Patent and Trademark Office	(703) 746-4060	(703) 308-1202

FROM: Alan S. Hodes **DATE:** August 30, 2004

Number of pages with cover page: 9

Preparer of this slip has confirmed that facsimile number given is correct: 9369/cgm1

### **CAUTION - CONFIDENTIAL**

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

### Comments:

Comments:

RESPONSE TO MISSING PARTS

**DOCKET NO.: 577642000100 GROUP ART UNIT: 2818 EXAMINER:** Not Yet Assigned **SERIAL NO.: 10/824,831** FILING DATE: April 14, 2004

INVENTOR(S): Katsumi FUKUMOTO

TITLE: ERRONEOUS OPERATION PREVENTING CIRCUIT OF NON-VOLATILE MEMORY DEVICE

### Papers attached:

- Transmittal (1 page)
   Fee Transmittal w/duplicate copy for fee processing (2 pages)
   Notice to File Missing Parts, Part 2 (2 pages)
   Declaration and Power of Attorney for Patent Application (3 pages)

01 P E 00 1 P E 00 1

			U.S. Palent and Tradem	PTO/SB/21 (02-04 wed for use through 07/31/2006. OMB 0651-003 ark Office; U.S. DEPARTMENT OF COMMERCE				
Under the Paperwark	Reduction Act of 1995, no para	een at benlupen and ano	Application Number	ation unless It displays a valid OMB control number 10/824,831				
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	April 14, 2004					
		First Named Inventor	Katsumi FUKUMOTO					
		Art Unit	2818					
(to be used for all correspondence sites initial many)			Examiner Name	Not Yet Assigned				
Total Numbe	r of Pages in This Submiss	sion 8	Attorney Docket Numb	er 577642000100				
ENCLOSURES (Check all that apply)								
	nittal Form + duplicate cessing (2 pages)	Drawing(s)	"	After Allowance communication to Technology Center (TC)				
Fee	Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply Patition				Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After	After Final Petition to Co			Proprietary Information				
		mey, Revocation mespondence Addrese	Status Letter					
Extension of Time Request Terminal Dis		çlaimer	X Other Enclosure(s) (please Identify below):					
Express Al	bandonment Request	Request for	Refund	Notice to File Missing Parts, Part     (2 pages)				
Information Disclosure Statement CD, Number			of CD(s)	Declaration and Power of     Attorney for Patent Application (3)				
Certified Copy of Priority Document(s)				pages) Fax cover sheet				
X Response to Missing Parts/ Incomplete Application		Remarks						
X Resp	nanse to Missing Parts or 37 CFR 1.52 or 1.53							
	SIGNATI	JRE OF APPLICA	ANT, ATTORNEY, OR	AGENT				
Firm or Individual name	Alan 9 Hodes - 38 185							
Signature	m-2							
Date	August 30, 2004		-					
I hereby cartify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 748-4080, on the date shown below.								
Dated: August 30, 2004 Signature: C-Q-Jun Value (Carolyn G. McKubre)								



# DUPLICATE COPY FOR FEE PROCESSING

PTO/SB/17 (10-03)
Approved for use through 7/31/2008. OMB 0551-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATE OF THE POSSIBLE PER	THEO D	esport	maco		O LEGITIES	on uniess it d	lepsys a valid OMB co	<u>nt</u> ici numbe
FEE TRANSMITTAL		Complete If Known						_
		Application Number			10/824,831			
for FY 2004		Filing Date		April 14, 2004				
Effective 10/01/2003. Patent less are subject to annual revision.		First Named Inventor			Katsumi FUKUMOTO			
The state of the s	Examiner Name			Not Yet Assigned				
Applicant daims small entity status. See 37 CFR 1.27	Art Unit			2818				
TOTAL AMOUNT OF PAYMENT (\$) 130.00	Aftorney Docket No.			lo.	57764200010D			
METHOD OF PAYMENT (check all that apply)	T			FE	CALCU	LATION (	Cottouerf	
Check Credit Money Other None	3. 4	FEE CALCULATION (continued)  ADDITIONAL FEES						
x Deposit Account:	1				•			
Deposit Control	Lam	e Entity	S	1 Епиту				
Account   03-1952	Fee	Fee	Foo	Fee	-			
Number	Code	(2)	Code	(5)		FBe Des	cription	Fee Pald
Account Morrison & Foerster LLP	1051	130	2051	65	Surcharge	- late filing t	lee or cath	130.00
Name The Director is authorized to: (check of that apply)	1052	50	2052	25	Surcharge sheet	- late provis	ional tling fee or cover	
X Change (sec(s) indicated below X Checit any overpayments	1053	130	1053	130				
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2.520				n specificati		
	1804		1812				parte recomination of SUR prior to	<u> </u>
Charge fee(s) indicated below, except for the filing fea to the above-identified deposit account.		920-	1804	920*	EXBITIME!	action		
FEE CALCULATION	1805	1,840	1805	1,8401	Examinar			
1. BASIC FILING FEE	1251	110 420	2251	55 210			in first month	
Large Entity Small Entity	1253	950	2252	475			in second month in third month	
Fee Fee Fee Fee Fee Fee Fee Paid Gode (5) Code (5)	1254	1,480	2254	740			in inira manin In fourth month	
Gode (3) Gode (5) 1001 770 2001 385 Utility filing fee	1255	2,010	2255					
1002 340 2002 170 Design filing fee	1401	330	2401	185	Extension for reply within fifth month Notice of Appeal			
1003 530 2003 265 Plant filting fee	1402	330	2402	165	Filing a brief in support of an appeal		<del></del>	
1004 770 2004 395 Reissus filling fee	1403	290	2403	145		r oral hearing		<u>-</u>
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510		institute a pu		
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55		on to revive – unavoidable on to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330 1,330	2453	665 685				
Extra Fee from Claims below Fee Paid	1502	480	2502		Design tss	i 166 (ar miss	iue)	
Total Ctaims 6 -20 = 0 x 18.00 = 0.00	1503	640	2503		Plent Issue			
independent 2 = 0 x 86,00 = 0.00	1460	130	1480			the Commis	zolonov	
Multiple Dependent 290.00 = 0.00	1907	50	1807	50			7 CFR 1.17(q)	
Largo Emility Small Entity	1808	180	1808				on Disclosure Street	
Fed Fee Fee Fee Fee	8021	40	8024	40	Recording	each patent (	essignment per	
1202 18 2202 9 Claims in excess of 20				70	Recording each patent essignment per property (times number of properties)			
1201 88 2201 43 Independent claims in excess of 3	1509	770	2809		Filing a stribmission after final rejection (37 CFR 1.129(a))		}	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be			
1204 86 2204 43 Released Independent claims over original palant	1801	770	2801		Request for	examined (37CFR 1.129(b)) Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue chains in excess of 20	1802	800	1802		Request for	expedited e		<del> </del>
and over original patent	Other A	Other fee (apecify)						
SUBTOTAL (2) (5) 0.00					130.00			
or number previously paid, if greater; For Reissues, see above								
SUBMITTED BY (Complete (Fapplicable))								
Name (Print/Type) Alan S. Hodes	Registra (Attorne)	tύen Ne ⊮Agent)	38,	185		Telephone	(650) 813-5622	
Signature						Date	August 30, 2004	$\Box$